



Management Response to the Report of the Board of Auditors

For the year ended 31 December 2019

Anna-Karin Jatfors, Director, a.i.

Strategy, Planning, Resources and Effectiveness Division

2019 UNBOA AUDIT

9th

consecutive unqualified
audit opinion



HQ NY



Fiji MCO



Turkey CO

11

recommendations
issued

73%

Actions completed or assessed as implemented

Positive Impacts:

- **Improved control in ICT user accounts**
- Standardized process for **validating and recording of partner project expenditures**
- **Streamlined workflow and certification of overtime claims**
- Enhanced **travel claim processing**

27%

Actions in progress

| PRIOR YEARS' AUDIT RECOMMENDATIONS

Implementation rate for prior years' recommendations: **68%**
(increased from 38% in 2018)

Actions in progress:

- Reviewing capacity of **Audit Coordination Unit** as part of **new division**
- Assessing optimization of data linkage between **Results Management System** and **ERP**
- Providing additional clarifications on the revised **procedure for the resolution of results from project partner audits**
- Expanding the project template to include the **procurement plan** in project documents



EXECUTIVE BOARD DECISION 2020/2

Key improvements in governance, risk management, internal controls and accountability



Governance & Accountability

- Promulgated **Presence Governance Framework**
- Optimized **internal governance** through creation of the Business Review Committee and Strategy, Planning, Resources and Effectiveness Division

Risk Management

- Established **Risk Management Committee** to oversee the monitoring of risk management
- Improved ERM Policy to **sharpen focus on strengthening risk management** across UN-Women

Internal Control

- Updated the Internal Control Policy for **stronger control around procurement approvals**
- **Strengthened the Second Line of Defense** for improved financial and human resources management

EXECUTIVE BOARD DECISION 2020/2

Positive impact from implementing audit recommendations issued in 2018

92%
implementation rate
(11 out of 12)



Procurement

- Added new **system-based control** for improved segregation of duties
- **Strengthened procurement plan monitoring**
- Timely review and closure of Purchase Orders with completed payments



Asset Management

- Improved monitoring and timely recording of capital assets through the use of electronic tagging system
- Established reliable **non-capital asset lists**



Partner Management

- **Improved transparency** in sample selection of project expenditures
- **Increase partners' financial management capacity** through training



THANK YOU!